

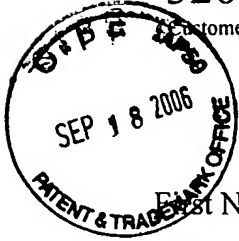
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Patent  
Case No.: 58948US002

32692

Customer Number

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



First Named Inventor: SCHUMACHER, KNUT

Application No.: 10/595186

Confirmation No.: 4226

Filed: September 14, 2004

Title: ELECTROCHEMICAL DISPLAY DEVICE

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<p><b>CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]</b></p> <p>I hereby certify that this correspondence is being:</p> <p><input checked="" type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p><input type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.</p> <p>September 15, 2006 Date</p> <p>Colleen M. Wagner Signed by: Colleen M. Wagner</p>
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Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

References listed on page 2 of the attached Form PTO-1449 were cited in the PCT Search report, therefore, copies are not enclosed.

Copies of any additional cited foreign patents, foreign publications, non-patent literature documents; and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p).

**Fees:**

☐ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.  
One copy of this sheet marked duplicate is also enclosed.

☐ Any required fee will be paid at the time of EFS-Web submission.

☒ If necessary, charge any required fee, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

September 15, 2006  
Date

By: 

Nicole J. Einerson, Reg. No.: 57,973

Telephone No.: 651-736-4235

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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10/595186

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First Named Inventor

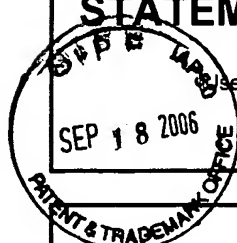
Schumacher, Knut

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Attorney Case Number

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## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 3,944,333	03/16/1976	Leibowitz	
	A2	US- 4,156,559	05/29/1979	Duchene et al.	
	A3	US- 6,631,023 B1	10/07/2003	Berneth et al.	
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			

## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	EP	0 081 031 A1	06/15/1983			
	B2	DE	25 45 391 A1 (See English equivalent US 3,944,333)	07/15/1976			
	B3	DE	27 27 854 A1 (See English equivalent US 4,156,559)	01/12/1978			
	B4	DE	44 43 470 A1	06/13/1996			X
	B5	DE	100 42 500 A1	03/14/2002			X
	B6	DE	198 25 371 A1 (See English equivalent US 6,631,023)	12/09/1999			

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

Application Number

10/595186

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Filing Date

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First Named Inventor

Schumacher, Knut

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**U.S. Patent Documents**

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		Doc. Number-(Kind Code if Known)			
	A1	US- 5,930,023	07/27/1999	Parker et al.	
	A2	US- 6,198,701 B1	03/06/2001	De Jonghe et al.	
	A3	US- 6,285,492 B1	09/04/2001	Parker et al.	
	A4	US- 2002/014768 A1	02/07/2002	Mitchell et al.	
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

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		Ctry. Code	Number-KindCode (If known)				
	B1	DE	198 18 716 A	10/29/1998			
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

**OTHER DOCUMENTS**

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Patent Abstracts of Japan, Vol. 2003, No. 10, October 8, 2003 & JP 2003 172957 A, June 20, 2003 Abstract.	
	C2	Patent Abstracts of Japan, Vol. 0124, No. 15, November 4, 1988 & JP 63 153525 A, June 25, 1988 Abstract.	
	C3		

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